

10/02/01
U.S. PTO

09-21-01

A

**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new nonprovisional applications under
37 CFR 1.53(b))

Attorney Docket No.	P00-3286
First Inventor	Steven E. Fairchild
Title	SYSTEM AND METHOD FOR PERFORMING WRITE OPERATIONS IN A DISK DRIVE USING A WRITE STACK DRIVE
Express Mail Label No.	EL922181777US

11017 U.S. PTO
09/957054
09/20/01**APPLICATION ELEMENTS**Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form (submit an original and a duplicate for fee processing)	6. <input type="checkbox"/> Application Data Sheet. (See 37 CFR 1.76)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
3. <input checked="" type="checkbox"/> Specification [total pages <u>16</u>] (preferred Arrangement set forth below)	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
<ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R&D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings - Detailed Description - Claim(s) - Abstract of the Disclosure 	<ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies 	
4. <input checked="" type="checkbox"/> Drawing(s) [total sheets <u>3</u>]	ACCOMPANYING APPLICATION PARTS	
5. <input checked="" type="checkbox"/> Oath or Declaration [total sheets <u>1</u>]	9. <input type="checkbox"/> Assignment Papers (coversheet/document(s))	
a. <input checked="" type="checkbox"/> Newly Unexecuted (original or copy) b. <input type="checkbox"/> Copy from prior appl. (37 C.F.R. § 1.63(d)) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	10. <input type="checkbox"/> 37 CFR. 3.73(b) Statement (when there is an assignee)	<input type="checkbox"/> Power of Attorney
	11. <input type="checkbox"/> English Translation Document	
	12. <input type="checkbox"/> IDS & Form 1449	<input type="checkbox"/> Copies of IDS Citations
	13. <input type="checkbox"/> Preliminary Amendment	
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)	
	15. <input type="checkbox"/> Certified Copy of Priority Document(s)	
	16. <input type="checkbox"/> Request & Certification under 35 USC 122(b)(2)(B)(i)	
	17. <input checked="" type="checkbox"/> Other: Certificate of Mailing by Express Mail	

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation Divisional Continuation-in-part (CIP) of prior application No.: / Prior application information: Examiner: Group/Art Unit:FOR CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	or <input type="checkbox"/> Correspondence address below		
25235			
PATENT TRADEMARK OFFICE			
Name			
Address			
City	State	ZIP	
Country	Telephone		Fax

Name (Print/Type)	William J. Kubida	Registration No.	29,664
(Signature)			Date
			19 Sept 2001

FEE TRANSMISSION for FY 2001

TOTAL AMOUNT OF PAYMENT (\$) **(\$ 1,148.00)**

Complete if Known	
Application Number	-----
Filing Date	Herewith
First Named Inventor	Steven E. Fairchild
Examiner Name	
Group / Art Unit	
Attorney Docket No.	P00-3286

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17
 Applicant claims small entity status.
 See 37 CFR 1.27

Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
710	355	Utility Filing Fee	710.00
320	160	Design filing fee	
490	245	Plant filing fee	
710	355	Reissue filing fee	
150	75	Provisional filing fee	
SUBTOTAL (1)		(\$ 710.00)	

2. EXTRA CLAIM FEES

Total Claims	31	Extra Claims	Fee from below	Fee Paid
Independent Claims	6	-20**= 11	X 18 = 198	
Multiple Dependent		-3**= 3	X 80 = 240	= 0

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$ 438.00)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge – late filing fee or oath	
50	25	Surcharge – late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
390	195	Extension for reply within second month	
890	445	Extension for reply within third month	
1,390	695	Extension for reply within fourth month	
1,890	945	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive – unavoidable	
1,240	620	Petition to revive – unintentional	
1,240	620	Utility issue fee (or reissue)	
440	220	Design issue fee	
600	300	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	
710	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
710	355	For each additional invention to be examined (37 CFR § 1.129(b))	
710	355	Request for Continued Examination	
900	900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY (Complete if applicable)

Name (Print/Type) **William J. Kubida** Registration No. **29,664** Telephone **(719) 448-5900**

Signature 

Date **19 Sept 2001**

PATENT
EXPRESS MAIL NO. EL922181777US
Attorney Docket No. P00-3286
Client/Matter No. 68854.0174

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Steven E. Fairchild

Group Art Unit: _____

Serial No. _____

Examiner: _____

Filed: Herewith

For: SYSTEM AND METHOD FOR
PERFORMING WRITE OPERATIONS IN A
DISK DRIVE USING A WRITE STACK DRIVE

CERTIFICATE OF MAILING BY EXPRESS MAIL

BOX PATENT APPLICATION

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

1. Utility Patent Application Transmittal;
2. Fee Transmittal and \$ 1,148 filing fee;
3. Utility Patent Application;
4. Unexecuted Declaration;
5. 3 sheets of drawings;
6. Return postcard; and
7. Certificate of Mailing By Express Mail

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL922181777US with the United States Postal Service, addressed to The Commissioner of Patents and Trademarks, Washington, D.C., 20231,

20 Sept 2001

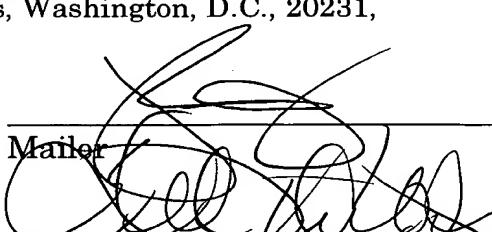
Date

20 September 2001

Date

19 September 2001

Mailer


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